

## SUBSTITUTE SPECIFICATION

### TITLE OF THE INVENTION

#### INFORMATION PROCESSOR AND PROGRAM FOR IMPLEMENTING INFORMATION PROCESSOR

### 5 BACKGROUND OF THE INVENTION

The present invention relates to a text mining method for extracting knowledge from text in a natural language and is mainly used for analysis in a call center text database.

Text classification systems, using keywords that are specified by a  
10 user, assist in classifying text by detecting and displaying keywords as viewed from their lack of use (or keywords not used in a category) based on the frequency that the keyword appears in the text (See for example, patent document 1).

The unit for extracting valuable knowledge for risk management  
15 focuses on expressions such as “失礼(rude)” or “失望(disappointment)”. In this method for extracting negative expressions, keywords having negative meanings, such as “失注(lost order)” or “苦情(complaint)”, are preset according to their domain, a search is made, and, if a hit occurs, an alert is issued. There are also text classification systems possessing a unit allowing the user to  
20 rewrite a keyword dictionary for the text category (See for example, patent document 2).

[Patent document 1] JP-A No. 101226/2001

[Patent document 2] JP-A No. 184351/2001

Text classification technology that is presently available is suitable for  
25 extracting and categorizing high-frequency knowledge. However, extracting valuable information for risk management and the actual voice of the customer from the call center text database by extracting low frequency knowledge is

extremely important. In other words, it is important to efficiently, and without omissions, extract essential valuable knowledge from among a vast quantity of ordinary information. An object of the present invention is to create FAQ (frequently asked questions) based on a high frequency of inquiries and to  
5 extract valuable information for risk management from a low frequency (low number) of inquiries. Analyzing text (or text mining) for risk management uses the technique of extracting negative expressions. In the method of extracting negative expressions, keywords such as "rude" or "disappointment" are preset and a search is made. However, this method has the problem that setting the  
10 keywords in advance requires much time and effort, covering all items is impossible and many omissions occur.

#### SUMMARY OF THE INVENTION

To resolve the above-mentioned problems of the related art, the text  
15 mining system of the present invention employs a method of extracting low frequency information having a function of extracting and storing high frequency information in a folder, and then gathering the remainder of the text and storing it in a low frequency information folder. The system of the present invention further has a unit to eliminate noise and omissions in the extraction of  
20 negative expressions from data in the low frequency information folder by extracting candidate negative words from the target text by utilizing a dictionary storing characters having negative meanings, such as "失(lose)" or "負(negative)", and after registering words determined to be negative words in the negative word dictionary, using this negative word dictionary to extract the  
25 negative expressions.

The present invention is capable of sorting information in a call center text database (hereafter, reply log) into high frequency information and low frequency information, producing the effect that text mining methods can be

applied to each type of information. Sorting the high frequency information into topics assists in creating a FAQ. Information valuable for risk management can be extracted by viewing low frequency information in terms of negative expressions and modality expressions.

5           The negative expression extraction method of the present invention has the effect of preventing omissions during extraction by using characters as clues to extract candidate negative words contained in the target text for analysis (mining). The task of judging whether the candidate negative words that were extracted are negative words must be performed by human effort.

10       However, words determined to be negative words are accumulated in the negative word dictionary and the stop word dictionary for extracting-negative-words, so that the invention produces the further effect that the number of candidate negative words are gradually narrowed down through the process of repetition.

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#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a block diagram of an embodiment of the text mining system of the present invention;

FIG. 2 is a diagram showing the data structure of a call center text  
20   database;

FIG. 3 is a diagram showing the data structure of an association thesaurus storage section;

FIG. 4 is a diagram showing the data structure of a term vector storage section;

25       FIG. 5 is a diagram showing the data structure of a thesaurus overview storage section;

FIG. 6 is a diagram showing the data structure of a display interface for text classification;

FIG. 7 is a flow chart showing the procedure for generating data for thesaurus browsing;

FIG. 8 is a flow chart showing the procedure for thesaurus browsing;

FIG. 9 is a flow chart showing the text classification procedure;

5 FIG. 10 is a diagram showing the data structure of a text folder;

FIG. 11 is a diagram showing an example of a negative word identification screen;

FIG. 12 is a diagram showing the data structure of a negative character dictionary;

10 FIG. 13 is a diagram showing the data structure of a negative word dictionary;

FIG. 14 is a diagram showing the data structure of a stop word dictionary for extracting negative words;

15 FIG. 15 is a diagram showing the data structure of a modality expression dictionary;

FIG. 16 is a diagram showing the data structure of a stop word dictionary for extracting modality expressions;

FIG. 17 is a flow chart showing the procedure for extracting candidate negative words;

20 FIG. 18 is a flow chart showing the procedure for generating a negative word dictionary;

FIG. 19 is a flow chart showing the procedure for extracting modality expressions;

25 FIG. 20 is a flow chart showing the procedure for generating a modality expression dictionary; and

FIG. 21 is a flow chart showing the procedure for extracting negative expressions and modality expressions.

## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Various embodiments of the present invention will be described by way of example to a text mining system for call center text databases. The embodiments will be described in detail with reference to the accompanying drawings.

(System structure)

FIG. 1 is a block diagram of a first embodiment of a text mining system in accordance with the present invention. This system comprises a CPU 101, an input device 102, a display 103, a call center text database 104, a data storage section for thesaurus browsing 105, a text folder 106, a data storage section for extracting low frequency knowledge 107, and a memory 108. The data storage section for thesaurus browsing 105 comprises a storage section for an association thesaurus 1051, a storage section for term vectors 1052, and a storage section for a thesaurus overview 1053. The data storage section for extracting low frequency knowledge 107 comprises a negative character dictionary 1071 for implementing extraction of negative expressions, a negative word dictionary 1072, a stop word dictionary 1073 for extracting negative words, a modality expression dictionary 1074 for implementing extraction of modality expressions, and a stop word dictionary 1075 for extracting modality expressions. The memory 108 comprises a thesaurus browsing data generator unit 1081, a thesaurus browser processing unit 1082, a text retrieval unit 1083, a candidate negative word extraction unit 1084, a negative word dictionary generator unit 1085, a modality expression extraction unit 1086, and a modality expression dictionary generator unit 1087.

(Call Center Text Database)

FIG. 2 is a diagram showing the data structure of the call center text database 104. A conversation (inquiry) ID 1041, a transcript of conversation 1042, a retrieval flag 1043 showing that keyword retrieval is complete, and a

classifying flag 1044 showing that sorting into the classification folder is complete are recorded in each record of the call center database 104.

(Thesaurus Browsing Function)

The system of this invention contains a thesaurus browsing function to  
5 assist in extracting documents containing valuable information. Here, a thesaurus is a network expression showing distinctive (characteristic) words within a document collection and their relation. The thesaurus browsing function of this system comprises a function which serves to automatically create a thesaurus from a document collection, and a function which serves to  
10 show an overview and a detailed view of the thesaurus (overall display - zoom display). The automatic creation of the thesaurus and the thesaurus display are implemented by the thesaurus browsing method disclosed, for example, in JP-A No. 227917/2000. The overall concept of the data and processing procedures for implementing the thesaurus browsing function of this system will  
15 be described next. The data for implementing the thesaurus browsing function will be described first. The thesaurus browsing data storage section 105 comprises an association thesaurus 1051, a term vector storage section 1052, and a thesaurus overview storage section 1053.

The association thesaurus created from document data in the transcript  
20 of conversation 1042 of the call center text database 104 is stored in the association thesaurus 1051. The association thesaurus shows the relation between one word and another word. In this embodiment, the association level expresses how easily co-occurrence may happen in two words. The association level is based on the frequency at which each word occurs and the  
25 co-occurrence frequency (frequency at which the two words appear simultaneously within a certain range in the text). FIG. 3 shows the data structure of the association thesaurus 1051. The association thesaurus 1051 comprises a record ID 10511, a term X 10512, a term Y 10513, and an

association level 10514. Related terms are stored in the term X 10512 and the term Y 10513, and their association level is stored in the association level 10514.

Term vectors extracted from document data stored in the transcript of a  
5 conversation 1042 of the call center database 104 are stored in the term vector  
storage section 1052. Here, term vectors indicate the numerical weight of  
terms in a document and can be extracted by utilizing the tr-idf method (Term  
Frequency Inverse Document Frequency) described in "Salton, G., et al.: A  
Vector Space Model for Automatic Indexing, Communications of the ACM, Vol.  
10 18, No. 11 (1975). This tf-idf method is most well known as a text indexing  
method. In this method, a value found by multiplying the frequency that the  
subject term appears in a document (tf) by its inverse or inverse document  
frequency (idf) is set as the weight of the term in the target document, and terms  
with a high weight (in other words, key terms) are extracted and set as the term  
15 vectors. FIG. 4 shows the data structure of the term vector storage section  
1052. The term vector storage section 1052 comprises a record ID 10521, a  
conversation ID 10522 and a key term list 10523. An ID for the text log  
(response log) stored in the call center text database 104 is stored in the record  
ID 10521. A list of high-weighted (important) terms appearing in the transcript  
20 of a conversation of the applicable text log are stored in the key term list 10522.

An overview of the association thesaurus in the association thesaurus  
storage section 1051 is stored in the thesaurus overview storage section 1053.  
Here, the thesaurus overview consists of representative terms extracted as the  
most characteristic terms within the document collection, and representative  
25 terms with a strong association are summarized into a term cluster. FIG. 5  
shows the data structure of the thesaurus overview storage section 1053. The  
thesaurus overview storage section 1053 comprises a term group number

10531 and a term list 10532. A list of terms belonging to the term cluster is stored in the term list 10532.

The thesaurus browsing data has now been described.

The procedures for generating thesaurus browsing data and thesaurus  
5 browsing processing for implementing the thesaurus browsing functions will be described next with reference to the flow charts in FIG. 7 and FIG. 8.

(Procedures for Generating Thesaurus Browsing Data)

Thesaurus browsing data is first of all produced to prepare the analysis environment. The process for generating thesaurus browsing data, as shown  
10 in FIG. 7, comprises the steps of generating an association thesaurus (step 701) showing the term and term association level from each document; extracting term vectors from each document (step 702); and generating a thesaurus overview (step 703). The thesaurus overview extracts the most characteristic terms within the document collection representative terms, and  
15 summarizes representative terms with a strong association into a term cluster. The representative term process sets key terms made up of term vectors and important terms in each document, as the representative terms. The term cluster generation process summarizes terms with a high association (association level) into one cluster based on the association level between  
20 terms as stored in the association thesaurus.

(Thesaurus Browsing Processing Procedure)

In the thesaurus browsing process, as shown in FIG. 8, the thesaurus overview stored in the thesaurus overview storage section 1053, for example, is displayed to the user, as shown in thesaurus overview display 602 in FIG. 6  
25 (step 801). The thesaurus overview display 602 comprises a term list display 6021 and a select button 6022. The term list 10532 stored in the thesaurus overview storage section 1053 is displayed on the term list display 6021. If the user next selects the term cluster list 6021 using, for example, a select button



as an input unit 6022, and commands zoom with the zoom button 6033 (step 802), the user then acquires associated terms of terms belonging to the term cluster on the association thesaurus 1051 (step 803). These terms are set as a clustering (step 804) and the generated term clusters are displayed on the association term cluster display 604 (step 805). If the user commands the termination of thesaurus browsing (step 806), then the processing ends, and, if there is no command from the user then the process returns to step 802. During the zooming command in step 802, if the user selects the term cluster 6041 that is displayed on association term cluster display 604 by using the select button 6042 and commands zooming with the zoom button 6033, then words associated with that association term cluster are displayed on the association term cluster display 604. If the user clicks on a term that is displayed on the thesaurus overview display 602 or association term cluster display 604 and then clicks the zoom button 6033, then words associated with each term are displayed on the association term cluster display 604. The user can command how many clusters to separate the terms into or what terms to extract into one cluster by selecting (clicking) the Number of Clusters 6031 and the Number of Terms in each Cluster 6033.

#### (Benefits of Thesaurus Browsing)

A function to search for (retrieve) key words in a text and a function to store text in a folder allows the user to extract terms associated with words the user has entered as key words and store them for creating a FAQ. Also, a thesaurus can be created from the overall text database (text or transcript reply log), and a thesaurus browsing function is provided allowing the user to navigate to a portion of the thesaurus containing terms the user selected after checking a thesaurus overview showing the overall thesaurus structure, thus making it easy for the user to hit upon (conceive) key words. Checking the thesaurus overview makes it easy for the user to acquire an understanding of

topics within the document collection. Viewing the array of representative terms that are summarized into one term cluster makes it possible to perceive the topic and its contents. Setting terms associated with a term on the cluster display (display summarizing terms with a strong correlation as term clusters) assists in conjecturing on the topics, sub-topics and their contents that are linked to that term.

The system of the present invention provides a thesaurus browsing function and key word text retrieval function allowing the user to extract text containing high frequency information and to store it in a classification folder, and it further provides another function to collect the remaining text into a low frequency information folder. FIG. 6 shows an example of the layout of the display interface for text classification (or text classification display). The text classification display 601, as shown in FIG. 6, comprises a thesaurus overview display 602 for thesaurus browsing, a thesaurus zooming function 603, an associated term cluster display 604, a text retrieval command section 605 for keyword text retrieval, a text retrieval result display 606 and a text save section 607 for saving the text category.

The thesaurus overview display 602 comprises a term list display 6021 and a Select button 6022. A term list 10532 stored in the thesaurus overview storage section 1053 is displayed on the term list display 6021. The thesaurus zooming function 603 is made up of a Number of clusters 6031, a Number of terms in each cluster 6032 and a zoom button 6033.

The associated term cluster display 604 is made up of a term list display section 6041 and a select button 6042.

The text retrieval command section 605 is made up of a search term entry box 6051 and a search button 6052. The text retrieval result display 606 is made up of a text display 6061 and a text select button 6062. The text save

section 607 is made up of a folder name display 6071 and a folder select button 6072.

(Text Classification Procedure)

The system of the present invention provides a function to collect the  
5 remaining text information and store it in a low frequency information folder  
after extracting the text containing high frequency information and storing it in a  
folder. FIG. 9 is a flow chart showing the text classification procedure of the  
present system. The text classification procedure of this system will be  
described next using the text classification screen of FIG. 6 and the flow chart of  
10 FIG. 9. When a start classification command is issued (step 901), the call  
center text database 104 is accessed and a retrieval flag 1043 showing that  
retrieval is complete and a classification flag 1044 showing that classification is  
complete are reset to "0" value. When the user enters a term into the search  
term entry box 6051 and clicks the search button 6052 to command a key word  
15 text search (retrieval) (step 903), the transcript of a conversation (reply log  
memo) 1042 of the call center text database 104 carried out a text retrieval  
(search) for a corresponding key word (step 904), the retrieval flag 1043 of the  
call center text database 104 is set to "1" to show that retrieval is complete (step  
905), and the text retrieval results are displayed in text display 6061 of the text  
20 retrieval result display 606 (step 906). When the user wants to save text from  
the text retrieval result list and clicks the text select button 6062 and folder  
select button 6072 (step 907), the selected text is saved in the text save folder  
106 (step 908), and the classification flag 1044 in the call center text database  
104 is set to "1" to show that classification is complete (step 909).

25 If the user commands that classification end (step 910), text with a  
retrieved flag of "0" is stored in the low frequency information folder (step 911).

The method of storing text into the low frequency information folder may  
also function so that text with a retrieved flag of "0" is stored in the low

frequency information folder. A select flag may also be prepared in the text save folder so that text, other than the text whose classification is specified by the user as complete, will be saved in the low frequency information folder. Further, instead of a retrieved flag and a classification complete flag showing  
5 that retrieval and classification are complete, the retrieval count and classification counts may be updated, and text with a value lower than a retrieval count and classification count threshold may be stored in the low frequency information folder.

The system of the present invention provides a thesaurus browsing  
10 function to assist in remembering key words. The user can make a search of the text for a key word by selecting a term displayed during the thesaurus browsing process. Clicking on a term displayed in the term list display 6021 of the thesaurus overview display 602 copies that term into the search term entry box 6051. Clicking the select button 6022 of the thesaurus overview display  
15 602 copies all terms displayed in the term list display 6021 into the search term entry box 6051. In the same way, clicking on a term displayed in the term list display section 6041 of association term cluster display 604 copies that term into search term entry box 6051, and clicking the select button 6042 copies all terms displayed in term list display section 6041 into the search term entry box  
20 6051. Terms appearing within the overall transcript (reply log) are linked (given associations) and stored. Thesaurus browsing therefore allows for the collecting and classifying of high frequency information.

#### (Extracting Knowledge from Low Frequency Information)

The system of the present invention can collect text never retrieved in  
25 the period from the start to finish of classifying, or text not classified into any folder, and store it in a low frequency information folder. Here, terms possessing negative meanings, such as “失礼(rude)” and “失望  
(disappointment)”, or modality expressions such as “くれないのか(won't you

give)", "そもそも(originally)", "なんなのか(why can't you)", and "欲しい(want)" serve as effective indicators when analyzing text for the purpose of risk management. As a unit for extracting knowledge from low frequency information that is valuable for risk management, a function which serves for extracting negative expressions and a function which serves for extracting a modality expression showing a customer or an operator modality are provided. An overview of the procedure for extracting text containing negative expressions and modality expressions from a transcript of conversations (reply log memo) stored in low frequency information folders will be described next with reference to the flow chart in FIG. 21. First of all, candidate negative words and candidate modality expressions are extracted from the transcript of conversations (reply log memo) that are stored in low frequency information folders (step 2101). Selections made by the user from these candidate negative words and candidate modality expressions are next registered in the negative word dictionary and modality expression dictionary (step 2102). Finally, a key word search (or retrieval) is carried out using the terms registered as key words in the negative word dictionary and modality expression dictionary as the key words (step 2103), and text containing negative words and modality expressions is extracted and the contents thereof checked (step 2104).

The procedure for extracting negative expressions and modality expressions will be described next.

#### (Extracting Negative Expressions)

The present system contains a unit for extracting negative expressions from a transcript of conversations (reply log memo). This unit comprises a negative word candidate extraction function for extracting negative word candidates from the transcript of conversations (reply log memo), and a negative word dictionary creation function for registering words among the candidate negative words determined by the user to be negative words. To

implement these functions, the present system comprises a negative character dictionary 1071 in which characters are registered that tend (high probability) to comprise elements of negative words, such as “失(lose)”, “負(negative)”, and “遅(slow)”; a negative word dictionary 1072 in which words are registered that  
5 have already been determined to be negative words; and a stop word dictionary (for extracting negative words) 1073 in which words are registered that have already been determined not to be negative words.

FIG. 12 shows the data structure of the negative character dictionary 1071. As shown in FIG. 12, each record of the negative character dictionary  
10 contains an ID record 10711, a Negative character 10712, a Negative level 10713, a Number of words registered in negative word dictionary 10714, and a Number of words registered in stop word dictionary (for extracting negative words) 10715. The Number of words registered in negative word dictionary 10714 holds the number of words containing the target negative character  
15 among words registered in the negative character dictionary, the Number of words registered in stop word dictionary 10715 holds the number of characters containing the target negative word from among words registered in the stop word dictionary 1073 (for extracting negative words), and the negative level 10713 holds a value of 0 or 1 showing the percentage of words registered in the  
20 negative word dictionary from among words extracted as candidate negative words. The value of this negative level may also be set as desired by the user. FIG. 13 shows the data structure of a negative word dictionary 1072. Each record of the negative word dictionary contains a record ID 10721, a Negative word 10722, and a Negative level 10723. The Negative level 10723 holds  
25 values for the negative level 10713 recorded in the negative character dictionary. FIG. 14 shows the data structure of the (negative) stop word dictionary (for extracting negative words) 1073. Each record in the (negative)

stop word dictionary contains a record ID 10731 and a Stop word for extracting negative words 10732.

The procedure for extracting candidate negative words will be described next with reference to the flow chart FIG. 17. First, all words  
5 appearing in the transcript of a conversation (memo) 1042 are extracted and a word list created (step 1701). One word is loaded from the word is list (step 1703), a search is made of the negative character dictionary 1071, and whether or not the word contains negative characters is decided (step 1704). If the word contains negative characters, then a search is made of the negative word  
10 dictionary 1072, and a check (decision) is made to determine if the word is already registered in the negative word dictionary 1072 (step 1075). If it is already registered in the negative word dictionary 1072, then it is already known to be a negative word, so that the word is not extracted as a candidate negative word, and processing related to this word is terminated. If the word is not  
15 registered in the negative word dictionary 1072, then a search is made of the (negative) stop word dictionary 1073, and whether or not the word is already registered in the (negative) stop word dictionary 1073 is decided (step 1706). If it is registered in the (negative) stop word dictionary 1073, then it is already known not to be a negative word, so that the word is not extracted as a  
20 candidate negative word and processing related to this word is terminated. The word is then registered in the candidate negative word list (stop 1707), if found it is to be not registered in the negative word dictionary and not registered in the (negative) stop word dictionary. By performing this same processing on all words registered in the word list, of those words containing negative characters,  
25 those words not registered in the negative word dictionary and those words not registered in the (negative) stop word dictionary, can be registered in the candidate negative word list.

The procedure for creating the negative word dictionary will be described next with reference to the flow chart of FIG. 18. First of all, to determine if the candidate negative word is a negative word or not, the candidate negative word list is displayed on the screen (step 1801). A typical negative word check screen is shown in FIG. 11. The negative word check screen contains a Candidate negative word display 11011, a Words registered in negative word dictionary display 11012, a Words registered in stop word dictionary (for extracting negative words) display 11013, and a Register button 11014. The Words registered in negative word dictionary display 11012 and Words registered in stop word dictionary (for extracting negative words) display 11013 are displayed as reference information for making a decision, but they may be omitted. The user decides whether or not the candidate negative word displayed in the Candidate negative word display 11011 is a negative word and enters a check mark on that word if it is determined to be a negative word (step 1802). When it is the user clicks the Register button 11014 (step 1803), the word determined to be a negative word is registered in the negative word dictionary (step 1804). When determined not to be a negative word, that word is registered in the stop word dictionary (step 1805).

#### (Extracting Modality Expressions)

The function for extracting modality expressions showing the customer and operator modality will be described next. FIG. 15 shows the data structure of the modality expression dictionary 1074. Each record in the modality expression dictionary contains a Record ID 10741, a Modality expression 10742, a Part of speech 10743, and a Modality 10744. FIG. 16 shows an example of the data structure of the modality expression stop word dictionary 1075. Each record in the modality expression stop word dictionary contains a Record ID 10751, a Modality expression stop word 10752 and a Part of Speech 10753.



The procedure for extracting the candidate modality expression will be described next with reference to the flow chart in FIG. 19. First, all words appearing in the transcript of conversation (memo) 1042 are extracted and a word list is created (step 1901). One word is loaded from the word list (step 1903), and if the part of speech is a helping verb (step 1904), then the process proceeds to the step of extracting the candidate modality expression. In other words, a search is made of the modality expression dictionary 1074, and whether or not the word is registered in the modality expression dictionary 1074 is decided (step 1905). If it is registered in the modality expression dictionary 1074, then it is already known to be a modality expression, so that the word is not extracted as a candidate modality expression, and the processing related to that word ends. If it is not registered in the modality expression dictionary 1074, then a search is made of the modality expression stop word dictionary 1075, and whether or not the word is registered in the modality expression stop word dictionary 1075 is decided (step 1906). If it is registered in the modality expression stop word dictionary 1075, then it is already known not to be a modality expression, so that the word is not extracted as a candidate modality expression and processing related to that word ends. Words that are not registered in the modality expression dictionary and also are not registered in the modality expression stop word dictionary are then registered in the candidate modality expression list (step 1907). By performing the same processing on all words registered in the word list, those words whose part of speech is an adverb or helping verb and that are not registered in the modality expression dictionary and modality expression stop word dictionary are then registered in the candidate modality expression list.

The procedure for creating the modality expression dictionary will be described next with reference to the flow chart in FIG. 20. The candidate modality expression list is first of all displayed (step 2001) to determine whether

or not the candidate modality expression is a modality expression. A modality expression check screen is used that is the same as the negative word check screen of FIG. 11. The user decides if the candidate modality expression displayed on the screen is a modality expression or not and places a check  
5 mark on a word that is determined to be a modality expression (step 2002). When the user clicks the Register button (step 2003), the word determined to be a modality expression is registered in the modality expression dictionary (step 2004). Words that have been determined not to be modality expressions are registered in the modality expression stop word dictionary (step 1805).